

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number	10567199
Filing Date	2006-08-14
First Named Inventor	Augusto Brazzini
Art Unit	3763
Examiner Name	Unassigned
Attorney Docket Number	EXPL-004

## **U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	0233475		1880-10-19	Cook et al.	
	2	1461524		1923-07-10	Goddard	
	3	3571864		1971-03-23	Emile et al.	
	4	3664435		1972-05-23	Klessig	
	5	4060089		1977-11-29	Noiles	
	6	4328805		1982-05-11	Akopov et al.	
	7	4416267		1983-11-22	Garren et al.	
	8	4458681		1984-07-10	Hophins	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10567199
Filing Date		2006-08-14
First Named Inventor	Augusto Brazzini	
Art Unit	3763	
Examiner Name	Unassigned	
Attorney Docket Number	EXPL-004	

9	4485805		1984-12-04	Foster, Jr. et al.	
10	4558899		1985-12-17	Bashour	
11	4592339		1986-06-03	Kuzmak et al.	
12	4669473		1987-06-02	Richards et al.	
13	4696288		1987-09-29	Kuzmak et al.	
14	4705040		1987-11-10	Mueller et al.	
15	4723547		1988-02-09	Kullas et al.	
16	5112310		1992-05-12	Grobe	
17	5151086		1992-09-29	Duh et al.	
18	5188104		1993-02-23	Wernicke et al.	
19	5246456		1993-09-21	Wilkinson	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10567199
Filing Date	2006-08-14
First Named Inventor	Augusto Brazzini
Art Unit	3763
Examiner Name	Unassigned
Attorney Docket Number	EXPL-004

20	5258015		1993-11-02	Li et al.	
21	5269809		1993-12-14	Hayhurst et al.	
22	5292344		1994-03-08	Douglas	
23	5423872		1995-06-13	Cigaina	
24	5496311		1996-03-05	Abele et al.	
25	5549621		1996-08-27	Bessier et al.	
26	5601604		1997-02-11	Vincent	
27	5634936		1997-06-03	Linden et al.	
28	5690681		1997-11-25	Chen et al.	
29	5888196		1999-03-30	Bonutti	
30	5931788		1999-08-03	Keen et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10567199
Filing Date		2006-08-14
First Named Inventor	Augusto Brazzini	
Art Unit	3763	
Examiner Name	Unassigned	
Attorney Docket Number	EXPL-004	

31	5951590		1999-09-14	Goldfarb	
32	5961440		1999-10-05	Schweich Jr. et al.	
33	6013053		2000-01-11	Bower et al.	
34	6067991		2000-05-30	Forsell	
35	6080160		2000-06-27	Chen et al.	
36	6097984		2000-08-01	Douglas	
37	6113609		2000-09-05	Adams	
38	6162234		2000-12-19	Freedland et al.	
39	6447533		2002-09-10	Adams	
40	6491707		2002-12-10	Makower et al.	
41	6535764		2003-03-18	Imran et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10567199
Filing Date	2006-08-14
First Named Inventor	Augusto Brazzini
Art Unit	3763
Examiner Name	Unassigned
Attorney Docket Number	EXPL-004

42	6558400		2003-05-06	Deem et al.	
43	6656182		2003-12-02	Hayhurst	
44	6669713		2003-12-30	Adams	
45	6755869		2004-06-29	Geitz	
46	6746460		2004-06-08	Gannoe et al.	
47	6908487		2005-06-21	Cigaina	
48	6994715		2006-02-07	Gannoe et al.	
49	7037344		2006-05-02	Kagan et al.	
50	7167750		2007-01-23	Knudson et al.	
51	7223277		2007-05-29	DeLegge	
52	7416554		2008-08-26	Lam et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10567199
Filing Date	2006-08-14
First Named Inventor	Augusto Brazzini
Art Unit	3763
Examiner Name	Unassigned
Attorney Docket Number	EXPL-004

53	7618426		2009-11-17	Ewers et al.	
54	5445608		1995-08-29	Chen et al.	
55	RE034021		1992-06-04	Mueller et al.	
56	7666195		2010-02-23	Kelleher et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010010005		2001-07-26	Kammerer et al.	
	2	20010011543		2001-06-09	Forsell	
	3	20020055757		2002-05-09	Flesler et al.	
	4	20020161414		2002-10-31	Flesler et al.	
	5	20020188354		2002-12-12	Peghini et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10567199
Filing Date	2006-08-14
First Named Inventor	Augusto Brazzini
Art Unit	3763
Examiner Name	Unassigned
Attorney Docket Number	EXPL-004

6	20030055463		2003-03-20	Gordon et al.	
7	20030055465		2003-03-20	Ben-Haim et al.	
8	20030093117		2003-05-15	Saadat Vahid	
9	20030208212		2003-11-06	Cigaina	
10	20040006351		2004-01-08	Gannoe et al.	
11	20040024386		2004-02-05	Deem et al.	
12	20040054352		2004-03-18	Adams et al.	
13	20040059289		2004-03-25	Garza Alvarez	
14	20040068224		2004-04-08	Couvillon et al.	
15	20040092892		2004-05-13	Kagan et al.	
16	20040097986		2004-05-20	Adams	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10567199
Filing Date	2006-08-14
First Named Inventor	Augusto Brazzini
Art Unit	3763
Examiner Name	Unassigned
Attorney Docket Number	EXPL-004

17	20040098060		2004-05-20	Ternes David J.	
18	20040116949		2004-06-17	Ewers et al.	
19	20040122456		2004-06-24	Saadat et al.	
20	20040122473		2004-06-24	Ewers et al.	
21	20050022827		2005-02-03	Woo, et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	WO 199925418			1999-05-27	Chan et al.		<input type="checkbox"/>
	2	WO 2002071951			2002-09-19	Garza Alvarez		<input type="checkbox"/>
	3	WO 2003095015			2003-11-20	Alverdy		<input type="checkbox"/>
	4	WO 2004004542			2004-01-15	Gannoe et al.		<input type="checkbox"/>



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10567199
Filing Date	2006-08-14
First Named Inventor	Augusto Brazzini
Art Unit	3763
Examiner Name	Unassigned
Attorney Docket Number	EXPL-004

5	WO 2004014237			2004-02-19	Gannoe et al.		<input type="checkbox"/>
6	WO 2004019765			2004-03-11	Gannoe et al.		<input type="checkbox"/>
7	WO 2004021894			2004-03-18	Kelleher et al.		<input type="checkbox"/>
8	WO 2005007232			2005-01-27	Ben et al.		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Trumble et al., "Method for measuring long-term function of muscle-powered implants via radiotelemetry" J. Appl. Physiol. 2001,90: pp. 1977-1985.	<input type="checkbox"/>
	2	Buchwald et al., "Evolution of Operative Procedures for the Management of Morbid Obesity 1950-2000", Obesity Surgery 2002, 12 705-717.	<input type="checkbox"/>
	3	Cummings et al., "Genetics and Pathophysiology of Human Obesity", An Annual Review of Medicine, 2003, 54:453-471.	<input type="checkbox"/>
	4	Johnston et al., "The MagenstJohnston et al., "The Magenstrasse and Mill Operation for Morbid Obesity", Obesity Surgery 2003, 13:10-16.	<input type="checkbox"/>
	5	Smith et al., "Modification of the Gastric Partitioning Operation for Morbid Obesity", Am. J. Surgery 142, Dec. 1981, pp 725-730.	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10567199
Filing Date		2006-08-14
First Named Inventor	Augusto Brazzini	
Art Unit	3763	
Examiner Name	Unassigned	
Attorney Docket Number	EXPL-004	

6	Smith et al., "Results and Complications of Gastric Partitioning: Four Years Follow-Up of 300 Morbidly Obese Patients", The American Journal of Surgery, 1983, (146) pp. 815-819.	<input type="checkbox"/>
---	---	--------------------------

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.